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SI1: Previous biological models of sameness detection

2 Two broad classes of mechanisms have been proposed for determining 3 whether two stimuli are identical (Grill-Spector, Henson, & Martin, 2006; 4 Kumaran & Maguire, 2007). On the one hand, sequentially presented identical 5 stimuli elicit less activation, due to neuronal "fatigue" or sharpening of the 6 representations. As a result, novel, non-repeated representations have relatively 7 higher levels of activation. However, such models cannot explain why sameness-8 relations can be generalized: after detecting the repetition in *babagu*, an item with 9 new syllables and the same repetition-pattern (e.g., *wowofe*) will be just as 10 unfamiliar as an item with new syllables and another repetition-pattern (e.g., 11 wofefe). As a result, an explicit representation of sameness vs. difference (or 12 match vs. non-match) is required (but see Cope et al., 2018, where generalization 13 is observed under some circumstances). 14 The second class of mechanisms involves some kind of comparator 15 between memory representations and sensory input, though there are few explicit 16 and biologically realistic models of sameness matches. For example, it has been 17 proposed that the hippocampal CA1 region (and maybe the CA3 region, 18 depending on the studies) are crucial for detecting matches between memory 19 representations and sensory input (while the CA3 regions might have an 20 additional role in retrieving associations; Hasselmo, 2005; Lisman, 1999; Lisman 21 & Otmakhova, 2001). 22 We will now discuss a number of representative models to illustrate these 23 points. 24 SI1.1. Hasselmo and Wyble (1997), Carpenter and Grossberg (1987), Wen, Ulloa,

25 <u>Husain, Horwitz, and Contreras-Vidal (2008)</u>

| 26 | In their simulation of memory retrieval in the hippocampus, Hasselmo and |
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| 27 | Wyble (1997) provide an explicit model of comparator-based sameness detection, |
| 28 | inspired by the anatomy of the trisynaptic circuit. Specifically, the hippocampus |
| 29 | receives sensory input from the entorhinal cortex, which in turn projects to region |
| 30 | CA3 (via the dentate gyrus). In contrast, CA1 receives input both from CA3 (via |
| 31 | the Schaffer collaterals) as well as directly from the entorhinal cortex. If |
| 32 | memories are encoded in CA3, the simultaneous input from the entorhinal cortex |
| 33 | and CA3 might allow CA1 to detect matches between sensory input (from the |
| 34 | entorhinal cortex) and memory representations (from CA3). |
| 35 | Specifically, during encoding of novel items, combined sensory and |
| 36 | memory (from CA1) input leads to novel self-organized representations in CA1. |
| 37 | To activate these representations during recognition (i.e., to enter the |
| 38 | corresponding attractor state), input from both the entorhinal cortex and CA3 is |
| 39 | required; sensory input alone does not activate the attractor state. In other words, |
| 40 | CA1 enters an attractor state only when the current sensory input matches |
| 41 | currently active memory representations in CA3 (see also Ludueña & Gros, 2013, |
| 42 | for a model that uses anti-Hebbian learning to configure a <i>mismatch</i> detector). |
| 43 | Relatedly, some working memory models detect matches between the |
| 44 | contents of working memory and current sensory input by adding input from |
| 45 | sensory input and WM (Carpenter & Grossberg, 1987; Wen et al., 2008). If an |
| 46 | item is in WM, it will provide an additional input. As a result, matches between |
| 47 | sensory input and WM can be detected using some threshold (though such a |
| 48 | mechanism might not be robust as it depends on the <i>absolute</i> fire firing rates; |
| | |

49 Engel & Wang, 2011).

50 SI1.2. Engel and Wang (2011) 51 While these models detect matches because the combined output of 52 memory representations and matching sensory input trigger the retrieval of other 53 representations, it is also possible to detect matches by *subtracting* sensory input 54 and memory representation. Such a model has been proposed in the context of 55 delayed-match-to-sample tasks. Specifically, Engel and Wang (2011) proposed a 56 biologically realistic model that detects matches through (i) a working memory 57 (WM) sub-network, (ii) a comparator sub-network, and (iii) a decision network 58 receiving input from the comparator network. Neurons in the WM network 59 receive sensory input (but only when attention is directed to the input) and can 60 maintain memory traces through self-excitation. Critically, the comparator 61 network is composed of two distinct populations. One receives both sensory input 62 and input from the WM network (hereafter called sensory+WM neurons). The 63 other receives *only* sensory input but no WM input (hereafter called sensory-only 64 neurons). Engel and Wang (2011) make two other critical assumptions. First, they 65 assume that the total level of excitation should be similar for matches in the 66 sensory+WM population, and for mismatches in the sensory-only population; as 67 the sensory+WM population has an extra excitatory input, they achieved this by 68 scaling down the synaptic excitation targeting the sensory+WM population. 69 Second, the comparator units show center-surround inhibition: there is a (limited 70 level of) excitation from similar stimuli, and much stronger inhibition from 71 dissimilar stimuli. 72 These assumptions conspire to yield stronger activation in the

73 sensory+WM population for matches, and stronger activation in the sensory-only 74 population for mismatches. As a result, to decide whether a probe matches the 75 target, the decision network just needs to compare the activation of the 76 sensory+WM population and the sensory-only populations. More specifically, in 77 the matching case, the sensory+WM neurons receive input both from the probe 78 and from the matching content of WM; in contrast, the sensory-only neurons 79 receive input only from the sensory representations of the probe. As a result, there 80 is stronger activation in the sensory+WM population. In contrast, in the case of a 81 mismatch, both populations receive input from the sensory representations of the 82 probe.

83 As Engel and Wang (2011) assume that excitatory input is stronger for the 84 sensory-only population, this population is expected to receive somewhat stronger 85 input than the sensory+WM population. Further, the sensory+WM population also 86 receives input from the (mismatching) WM representation; due to the center-87 surround inhibition in the network, the probe and the (mismatching) target inhibit 88 each other, further reducing the activation in the sensory+WM population. The 89 decision network just has to decide whether similar orientations have stronger presentation in the sensory+WM population or the sensory-only population.¹ 90 91 SI1.3. Johnson, Spencer, Luck, & Schöner (2009) 92 Another WM model that explicitly incorporates a same/different

distinction has been proposed by Johnson et al. (2009). In their model, sensory

¹ This model assumes that WM is mediated by self-sustained activity in a population of neurons. However, it has been questioned whether such self-sustained activation really plays a crucial role in WM (Rose et al., 2016; Stokes, 2015).

94 input excites (self-sustained) WM representations, which, in turn, inhibits the 95 corresponding sensory activation (with center-surround inhibition in all areas). As 96 a result, upon presentation of the sample stimulus, there is a self-sustained 97 representation of the memory items in WM, but little activation in sensory areas 98 due to the inhibitory input from WM. Hence, if a later sensory input matches the 99 items in memory, the sensory areas will remain largely silent. In contrast, if the 100 sensory input differs from the memory items, sensory input will be uninhibited. 101 Hence, in this model, "decision" neurons that receive excitation from WM will 102 respond to matches, while decision neurons receiving excitation from sensory 103 input will respond to mismatches, at least with mutual inhibition between these 104 decision populations.

However, there are a number of problems with this model. First, it has
been questioned whether WM really relies on self-sustained activity (Rose et al.,
2016; Stokes, 2015). Second, and crucially, items in (working) memory seem to *attract* attention (Awh & Jonides, 2001; Downing, 2000; Fan & Turk-Browne,
2016) which seems inconsistent with the proposal that memory items suppress
perceptual input.

111 <u>SI1.4. Difficulties of these models with generalizable repetition patterns</u>

In their current instantiations such models are unlikely to account for the generalization of sameness relatoions (nor were these models intended to do so). For example, after exposure to *pupu*, they are unlikely to recognize *baba* over, say, *bapu* when the syllables are novel. In Hasselmo and Wyble's (1997) model, items like *baba* have no memory representation, and thus cannot trigger CA1-like activation any more than *bapu* sequences. That said, a version of Hasselmo and

118 Wyble's (1997) model might act as a repetition-detector if each item undergoes 119 element-by-element encoding-retrieval cycles. For example, when processing the 120 item *pupu*, the network might first encode the first instance of the syllable *pu*; 121 upon presentation of the second instance of *pu*, a CA1-like structure might enter 122 an attractor state as the current sensory input matches an existing memory 123 representation. In contrast, for items like *bapu*, the second element has no 124 corresponding existing memory representation, and thus does not activate an 125 attractor in a CA1-like structure. Hence, a readout mechanism to a CA1-like 126 structure could, in principle, act as a repetition-detector.

However, there are a number of problems with such an architecture as well. For example, after exposure to both *pupu* and *bapu*, the model might classify *bapu* as a repetition, because the syllable *pu* has an existing memory representation from a previous item. In other words, the model would show proactive interference in sameness-detection, and there is no evidence that this might be the case in real learners.

133 Likewise, such a model will face difficulties discriminating ABB patterns 134 as in *pulili* from ABA patterns as in *pulipu*. It is also unclear whether a memory-135 based repetition-detector can detect the sameness of simultaneously (rather than 136 sequentially) presented items, and whether such models would "recognize" an 137 item in the presence of distractors; after all, a CA1-like region would receive 138 input from the distractors as well, which will bring up the total level of activity. 139 As a result, considerable computational and neuroscientific research is needed to 140 decide whether such an architecture might act as a repetition-detector. 141 Similar problems arise Engel and Wang's (Engel & Wang, 2011) model.

142 First, the model would show proactive interference, and falsely detect repetitions 143 in non-repetition sequences if the second item has been placed in working 144 memory on a considerable number of earlier occasions. While this is an empirical prediction, it seems, at first sight, implausible.² Second, it is unclear whether a 145 146 memory-based repetition-detector would be able to detect the sameness of 147 simultaneously presented items. Third, Engel and Wang (2011) use supervised 148 training to teach units to subtract the activation of sensory+WM neurons and 149 sensory-only neurons, respectively. However, in all experiments on repetition 150 learning in infancy, learning is unsupervised; further, the reliance on supervised 151 training prevents the model from generalizing to items that are dissimilar from 152 those it has been trained on (Marcus, 1998a, 1998b). 153 There is another reason for which such memory-based repetition-detectors 154 are unlikely to support the kinds of generalizations reviewed here. Given how

155 widespread the ability to compute repetition-patterns is, one would expect it to

156 rely on fairly simple circuits. However, these memory-based models rely on the

157 interaction of different brain areas (the entorhinal cortex as well as CA1 and CA3

158 in the case of Hasselmo and Wyble's (1997) model, and a sensory as well as a

159 working memory system in the case of Engel and Wang's (2011) model).

² Engel and Wang's (2011) model can detect matches between the *A* items in *ABBA* trials. However, they achieve this by assuming that the WM subnetwork receives sensory input only when the input is attentionally encoded. As a result, only the first *A* from the *ABBA* items ever reaches WM. However, this would predict that participants do not notice the repetition of the *B* items. It thus seems that the WM component in Engel and Wang's (2011) has a similar function as (pre) frontal regions in the recent models of inhibition (Egner & Hirsch, 2005; Erika-Florence, Leech, & Hampshire, 2014; Hampshire & Sharp, 2015): it serves to highlight task-relevant representations.

SI1.5. Cope et al. (2018)

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| 161 | Cope et al. (2018) proposed a model to explain the successful performance |
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| 162 | of bees in delayed-match-to-sample tasks such as in Giurfa, Zhang, Jenett, |
| 163 | Menzel, and Srinivasan (2001). They used a model inspired by the architecture of |
| 164 | the bee mushroom body. At a conceptual level, the model comprises three |
| 165 | populations of neurons: (1) a population of input neurons encoding stimuli |
| 166 | (inspired by Kenyon cells); (2) a population of inhibitory neurons (inspired by the |
| 167 | protocerebellar tract); (3) and a population of output neurons (inspired by |
| 168 | extrinsic neurons), half of which code for a "go" response and half for a "no-go" |
| 169 | response. |
| 170 | The input population has excitatory connections (with fixed weights) to |
| 171 | both the output neurons and the inhibitory neurons; the inhibitory neurons project |
| 172 | to the output neurons as well, but, critically, with weights that are modifiable. |
| 173 | The critical assumption of the model is (an empirically observed) "fatigue |
| 174 | effect" in the input neurons: responses to repeated stimuli are weaker than to |
| 175 | novel stimuli. As these weaker activations are assumed to be insufficient to drive |
| 176 | the inhibitory population, novel and repeated stimuli play different roles in match- |
| 177 | to-sample tasks and non-match-to-sample tasks, respectively. |
| 178 | In match-to-sample tasks, repeated items fail to activate the inhibitory |
| 179 | neurons. As a result, the connection weight between the inhibitory neurons and |
| 180 | the output neurons is adjusted only when non-matching, novel items are |
| 181 | presented. Given that "go" responses to non-match items are not reinforced in |
| 182 | match-to-sample tasks, the strength of the connections between the inhibitory |
| 183 | neurons and go responses is increased relative to the strength of the connections |

184 between the inhibitory neurons and *no go* responses. (The strength of the 185 connections between the inhibitory neurons and *no go* responses does not change 186 as no learning takes place if the bee refuses to "go" for a stimulus to begin with.) 187 In non-match-to-sample tasks, repeated items still fail to activate the 188 inhibitory neurons, so that learning occurs only with non-matching, novel items. 189 However, in *non-match-to-sample tasks*, connections between the inhibitory 190 neurons and go responses are weakened, relative to the connections between the 191 inhibitory neurons and *no go* responses.

In other words, the inhibitory population learns to select between go and no-go responses, based on the frequency with which the responses are responses are reinforced when it is activated by novel, non-matching stimuli. It thus detects the correlation between the presence of rewards and input from non-repeated stimuli.

197 Impressively, these simple computational principles are sufficient to allow
198 the model toe generalize the sameness-relations to untrained items; for example, if
199 the model is trained in delay (non-) match-to-sample task with, say, orientations,
200 it would transfer this learning to a task with, say, colors.

However, there are four situations that raise the question of whether this model would appropriately account for sameness-detection in grammar-learning situations. First, it is unclear to what extent this model can discriminate matching from non-matching pairs when the elements of the pair are presented simultaneously (Martinho & Kacelnik, 2016). This is because the model relies on a decrease in representational strength of items presented repeatedly, and, if identical items are presented simultaneously, no such decrease can occur (though 208 this issue might be solved if organisms attend to the items sequentially).

Second, and critically, humans and some other animals can learn
sameness-relations from positive evidence alone, in the absence of reinforcement
(Marcus, Vijayan, Rao, & Vishton, 1999).

212 Third, and relatedly, Cope et al.'s (2018) model learns in a fundamentally 213 different way from humans. Specifically, the model learns about *non*-matching 214 items. In match-to-sample tasks, it learns to increase the inhibition of "go" 215 responses to non-match stimuli; in non-match-to-sample tasks, it learns to 216 decrease the inhibition of "go" responses to non-match stimuli. In contrast, 217 humans learn predominantly about sameness rather than difference relations, and, 218 to the extent that they represent difference relations, they represent them as 219 negations of sameness relations (Hochmann, Carey, & Mehler, 2018; Hochmann, 220 Mody, & Carey, 2016). 221 Fourth, the model does not produce representations of sameness or 222 differences that can be used for further processing. For example, in Marcus et al.'s 223 (1999) discrimination between AAB and ABB, the critical distinction was not 224 whether the strings contained a repetition, but rather *where* in the strings the 225 repetition was located. As a result, learners had to bind the output of the sameness 226 detection computations to some kind of representation of sequential positions, 227 which seems beyond the representations produced by Cope et al.'s (2018) model. 228

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